

November 2016: Monthly Disclosure

TOKYO, December 5, 2016 – Monex Group, Inc. (TSE 8698, Oki Matsumoto, Representative Executive Officer and CEO) today reported the following monthly business metrics of its subsidiaries in November 2016.

Global DARTs

	Nov. 2015	Dec. 2015	Jan. 2016	Feb. 2016	Mar. 2016	Apr. 2016	May 2016	Jun. 2016	Jul. 2016	Aug. 2016	Sep. 2016	Oct. 2016	Nov. 2016
Number of DARTs (per business day)	280,599	282,969	359,627	354,366	288,349	299,629	274,230	293,426	275,082	231,108	251,188	236,895	307,856

(Notes) 1. DARTs stand for Daily Average Revenue Trades meaning the number of trades and transactions per day which generate revenue.

2. Global DARTs are the sum of the DARTs of subsidiary securities companies under Monex Group, Inc.

Monex, Inc.

	Nov. 2015	Dec. 2015	Jan. 2016	Feb. 2016	Mar. 2016	Apr. 2016	May 2016	Jun. 2016	Jul. 2016	Aug. 2016	Sep. 2016	Oct. 2016	Nov. 2016
Number of business days	19	21	19	20	22	20	19	22	20	22	20	20	20

Number of Accounts (end of month)

Number of total accounts	1,609,237	1,619,012	1,621,635	1,628,560	1,635,172	1,639,437	1,643,568	1,649,847	1,655,294	1,658,703	1,663,648	1,668,473	1,674,318
Number of active accounts in total accounts	981,957	985,774	987,953	992,942	995,368	996,990	998,726	1,002,251	1,004,968	1,006,878	1,009,346	1,011,472	1,014,391
Number of margin accounts	102,751	103,362	103,901	104,414	105,041	105,513	105,850	106,271	106,726	107,135	107,703	108,247	108,946
Number of active accounts in margin accounts	50,077	49,978	50,163	50,319	50,288	50,312	50,276	50,191	50,067	49,646	49,500	49,529	49,720
Customers' assets in custody (in billion yen)	3,772.6	3,706.9	3,525.4	3,344.7	3,477.2	3,472.9	3,533.0	3,305.9	3,425.7	3,451.3	3,451.8	3,553.8	3,706.9

Stock Brokerage

Average number of trades per business day	Cash	77,744	78,973	85,431	82,946	71,332	75,472	67,462	72,772	69,369	59,299	59,441	59,300	81,011
	Margin	38,306	38,267	44,263	47,407	44,219	47,503	40,614	39,471	39,694	32,459	33,515	33,164	41,784
	Total	116,051	117,240	129,694	130,353	115,552	122,975	108,077	112,244	109,063	91,758	92,956	92,465	122,795
Average trade value (in thousand yen)	Cash	434	411	378	370	369	386	380	374	408	364	374	373	426
	Margin	775	730	671	669	646	683	654	649	814	671	667	624	696
	Total	547	515	478	479	475	501	483	471	555	473	480	463	518
Monthly trade value (in billion yen)	1,206.2	1,270.3	1,178.5	1,249.8	1,208.9	1,233.3	992.7	1,163.1	1,212.6	955.3	892.8	856.8	1,273.0	
Margin balance (end of month)(in billion yen)	190.2	189.0	172.1	152.5	163.4	161.1	161.4	144.2	147.3	147.6	142.9	145.6	142.9	

FX Trading (OTC FX)

Number of OTC FX accounts	219,007	220,363	221,293	222,404	223,365	224,485	225,666	227,043	228,124	228,697	230,118	231,540	232,764
Number of active accounts in OTC FX accounts	63,110	63,225	63,265	63,477	63,316	63,507	63,726	64,300	64,657	64,775	65,058	65,015	66,307
Average trade value per business day (in million yen)	108,017	129,259	201,340	204,044	141,385	169,830	142,755	149,991	135,405	85,374	123,767	95,543	213,618

DARTs

Number of DARTs (per business day)	166,710	173,662	215,406	217,039	176,106	189,416	168,560	185,166	178,737	140,419	147,917	142,983	203,358
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(Notes about the number of accounts)

1. "Number of total accounts" refers to the sum of brokerage accounts and FX-only accounts.

2. "Number of active accounts in total accounts", "Number of active accounts in margin accounts" and "Number of active accounts in OTC FX accounts" refer to accounts with balances at the end of each month or accounts which have been traded (including withdrawal) in the past 1 year.

(Note about the customers' assets in custody)

"Customers' assets in custody" include securities that have been lent to Monex, Inc. through the securities lending service program.

(Note about stock brokerage)

"Stock Brokerage" data include stocks, ETFs and REITs traded only in Japanese markets.

(Note about the number of OTC FX active accounts)

Monex, Inc. provides several services of FX Trading (OTC FX) and "Number of OTC FX accounts" and "Number of active accounts in OTC FX accounts" exclude duplicate active accounts at Monex, Inc.

(Note about DARTs)

DARTs of Monex, Inc. comprise trades of stock brokerage, futures and options, OTC FX, mutual funds, TFX-CFD and bullion (gold, silver & platinum).

TradeStation Group

	Nov. 2015	Dec. 2015	Jan. 2016	Feb. 2016	Mar. 2016	Apr. 2016	May. 2016	Jun. 2016	Jul. 2016	Aug. 2016	Sep. 2016	Oct. 2016	Nov. 2016
Number of business days	19.5	21.5	19	20	22	21	21	22	20	23	21	21	20.5

Number of active accounts (end of month)	67,990	68,149	68,419	68,796	61,348	61,819	61,932	62,185	62,018	61,752	61,815	61,732	61,819
Total client assets [end of month] (in million dollars)	3,754	3,688	3,603	3,635	3,740	3,802	3,800	3,852	3,949	3,914	3,918	3,900	4,093
Average equities clients credit balance (in million dollars)	1,330	1,290	1,310	1,318	1,378	1,401	1,373	1,408	1,433	1,444	1,418	1,408	1,433
Average equities margin clients debit balance (in million dollars)	65	75	81	78	65	69	72	72	66	71	67	67	64

DARTs

Number of DARTs (per business day)	114,622	110,051	145,099	138,033	112,769	110,929	106,400	109,117	97,313	91,241	103,752	94,307	105,400
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(Notes) 1. "Active accounts" mean the number of accounts (equities, futures etc) whose balance is more than 200 dollars or which have traded in the past 6 months as of the end of each month.

2. DARTs of TradeStation Group are the number of revenue generating trades in a securities company and FX companies under TradeStation Group, Inc. DARTs mainly include the DARTs of stocks, futures, options and OTC FX.

3. As for OTC FX trades of TradeStation Group, accounts were transferred to OANDA Corporation and OANDA Australia Pty Ltd on March 4, 2016. 68,796 active accounts as of February 2016 include 8,004 of OTC FX accounts.

*The preliminary numbers reported above may be updated in the future.

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