

MONEX GROUP

Announcement of Subsidiary's Release

TOKYO, January 25, 2022 – Monex, Inc., a wholly owned subsidiary of Monex Group, Inc., issued the following press release today.

Attachment: Monex, Inc. press release

US Equity Margin Trading Services to Start
Trading to become available from July 2022 (subject to confirmation)

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This material was made as a matter of record only and does not constitute an offer to sell or to solicit an offer to buy securities in the U.S.

US Equity Margin Trading Services to Start

Trading to Become Available from July 2022 (subject to confirmation)

TOKYO, January 25, 2022 – Monex, Inc. (headquarters; Minato-ku, Tokyo; Representative Director and President: Yuko Seimei; hereinafter, Monex) today announced that it will start offering US equity margin trading services from July 2022, subject to confirmation, the same month when such services become permitted in Japan.

■ Background

In partnership with its group company in the US, TradeStation Group, Inc. (Representative: John Bartleman, Location: Florida; hereinafter, TradeStation Group), Monex has been offering unique US equity trading services that no other securities brokers can replicate. Monex has enhanced services in many areas in such a way to provide a better investment environment to its customers, including, for example, being the first in the industry lowering the minimum trading fees in July 2019, and increasing the number of tradable stocks.

In September of last year, the Japan Securities Dealers Association revised part of the Regulations Concerning Foreign Securities Transactions, permitting margin trading of US equities in Japan from July 2022. To meet requests from many of our customers who are currently involved in US equity cash trading, Monex decided to offer margin trading services.

■ Overview

Margin trading offers several benefits compared to cash trading, for example, allowing investors to leverage(*1) a smaller amount of funds in trading as well as allowing them to gain returns in a downward market with new short positions.

Monex is preparing for the launch of the new service in July 2022. The exact date of launch as well as other information, including the minimum margin, covered stocks, timing to start short selling, will be communicated in due course through the website.

(*1) While a large return can be expected, the risk is also high.

Reference Note: Monex's History of Improving the Environment for US Equity Investment

Since 2008 when Monex started offering US equity and ETF trading services, it has expanded services and improved communications. Launching margin trading services is another part of this commitment to improvement. Monex continues to make efforts at providing a better environment of US equity investment for its customers.

**Expanded services and improved communications on investment information
(from 2019 to date)**

When	New Services
July 2019	Waved the minimum fee for US equity trading to zero
October 2019	Strengthened the content of information sharing on US stock market
January 2020	Virtually lowered US ETF purchase fee to zero
February 2020	Offering graphic illustrations of corporate earnings of foreign stocks over the previous 10 quarters, the first among online brokers*
March 2020	Enabled customers to open general securities trading accounts and foreign stock trading accounts at the same time
March 2021	“Monex University for US Equity Trading” launched with the aim of improving financial literacy
June 2021	Enabled customers to set a periodic purchase of US equities and ETFs
July 2021	“Monex Investment Capacity Assessment,” a tool to view investment results at a glance, started to cover US stocks
September 2021	Started US equity over-the-counter trading services in Japan, the first among major online securities brokers*
January 2022	Started offering corporate earnings flash, the first among major online securities brokers*
January 2022	Tradable US stocks exceeded 5,000
July 2022	US Equity Margin Trading Services to Start (planned)

* According to January 25, 2022, survey by Monex. Major online brokerage companies are defined as au Kabucom Securities, SBI Securities, Matsui Securities, Rakuten Securities and Monex, Inc.