

MONEX GROUP

Announcement of Changes in Management

TOKYO, June 21, 2021 - Monex Group, Inc. hereby announces changes in management and personnel of its group companies named below in order to strengthen management structure.

Monex Group, Inc.
Monex, Inc.

I. Monex Group, Inc.

1. Changes in Responsibilities of Executive Officer

Effective June 26, 2021 (scheduled)

Name	Responsibilities (new)	Responsibilities (old)
Oki Matsumoto(*1)	Chief Executive Officer - Overall Management - Monex Zero - Asset Management (*1)	Chief Executive Officer - Overall Management - Monex Zero - Japan Catalyst, Inc.

(*1) Covers both Japan Catalyst, Inc. and Monex Asset Management, Inc.

2. Executive Director Changes

Effective July 1, 2021 (scheduled)

Name	New Position	Current Position
Seiichirou Wada (*2)	Executive Director	—

(*2) Will continue to serve as Representative Director & President, Monex Ventures, Inc.

Responsibilities of Executive Officers (As of June 26, 2021 (scheduled))

Name	Title	Responsibilities	Other important positions in the group
Oki Matsumoto	Representative Executive Officer & CEO	Chief Executive Officer - Overall Management - Monex Zero - Asset Management	- Managing Director & Chairman, Monex, Inc. - Chairman of the Board of Directors, TradeStation Group, Inc. - Chairman, Coincheck, Inc. - Chairman, Japan Catalyst, Inc.
Yuko Seimei	Representative Executive Officer	Chief Operating Officer/ Chief Financial Officer - Planning - Financial Controls - Japan Segment - Investment Segment	- Representative Director & President, Monex, Inc. - Director, TradeStation Group, Inc.
Kiminori Kaneko	Executive Officer	- Information Security - Risk Management	- Managing Director, Monex, Inc.
Takashi Oyagi	Executive Officer	Chief Strategic Officer - US Segment	- Director & Chief Strategic Officer, TradeStation Group, Inc.

MONEX GROUP

Name	Title	Responsibilities	Other important positions in the group
John Bartleman	Executive Officer	- US Segment	- Director & President, TradeStation Group, Inc.
Shoji Kuwashima	Executive Officer	Chief Quality Officer/ Vice Chairman - Quality Controls - Crypto Asset Segment - Corporate Communications - Crisis Management	- Managing Director, Coincheck, Inc.
Masayuki Okamoto	Executive Officer	Chief Administrative Officer/ General Counsel - Internal Controls - Legal	- Managing Director, Monex, Inc.
Katsuki Mandai	Executive Officer	- Japan Segment - Asia-Pacific Segment	- Managing Director and Vice President, Monex, Inc.
Takuya Yamanaka	Executive Officer	- Human Resources	- Senior Executive Director, Monex, Inc.

II. Monex, Inc.

1. Executive Director and Expert Director Changes

Effective June 18, 2021

Name	New Position	Current Position
Takashi Hiroki	Expert Director, General Manager, Financial Intelligence Department, Chief Strategist	Executive Director, General Manager, Financial Intelligence Department, Chief Strategist
Heihachiro Okamoto	Expert Director, Chief Global Stock Consultant	—

Effective July 1, 2021 (scheduled)

Name	New Position	Current Position
Yorinobu Watanabe	Executive Director, Head of Operation Division	Executive Director

Contact: Akiko Kato
Corporate Communications Office
Monex Group, Inc.
+81-3-4323-8698

Yuki Nakano, Minaka Aihara
Investor Relations, Financial Control Department
Monex Group, Inc.
+81-3-4323-8698

This material is an English translation of a Japanese announcement made on the date above. Although the Company intended to faithfully translate the Japanese document into English, the accuracy and correctness of this English translation is not guaranteed and thus you are encouraged to refer to the original Japanese document. This translation was made as a matter of record only and does not constitute an offer to sell or to solicit an offer to buy securities in the U.S.