

Shinsei Bank to begin offering Monex Activist Fund **~the first in-person sales channel for the Fund~**

TOKYO, May 28, 2021 – Monex Group, Inc. (headquarters: Tokyo, Japan, Representative Executive Officer and CEO: Oki Matsumoto) is pleased to announce that starting May 28, 2021, Shinsei Bank, Limited (hereinafter, “Shinsei Bank”) offers Monex Activist Fund (nickname: The Future of Japan; hereinafter, “the Fund”), a publicly offered investment trust managed by Monex Asset Management, Inc., based on investment advice from Japan Catalyst, Inc. (hereinafter, “Japan Catalyst”)

The Fund began operations as a publicly offered investment trust on June 25, 2020, and currently, it is available for purchase from five major online securities companies, including Monex, Inc. (hereinafter, “Monex”). Shinsei Bank, which concluded an agreement for the comprehensive business partnership with Monex on March 24, 2021, now offers the Fund to its customers as the first in-person sales channel.

■ Summary

The Fund is a publicly offered investment trust fund that is available for individual investors to be purchased and sold in small amounts at any time. The Fund is different from conventional activist funds, which generally speaking, are financial products aimed at institutional investors and have several restrictions, such as trading periods.

The Fund invests primarily in Japanese companies undergoing transformation, and through dialogue and proposals, pursues comprehensive engagement that reflects the voices of individual investors. Engagement with the companies the Fund is invested in is led by Oki Matsumoto, the founder of Monex Group, Inc. and Chairman of Japan Catalyst, utilizing his many years of experience in the capital markets. In this sense, we believe the Fund’s efforts can be coined, “Activist 2.0.” By paving the way for a new type of activism, we hope to invigorate Japanese capital markets and work with individual investors to build a stronger future for Japan.

In addition, the Fund closely reflects the concept of SDGs (sustainable development goals), international goals proposed by the United Nations to help create a sustainable and better world, and we will continue to improve our performance as a fund while seeking to contribute to economic, environmental and societal development.

Contact:	Akiko Kato	Yuki Nakano, Minaka Aihara
	Corporate Communications Office	Investor Relations, Financial Control Department
	Monex Group, Inc.	Monex Group, Inc.
	+81-3-4323-8698	+81-3-4323-8698

This material is an English translation of a Japanese announcement made on the date above. Although the Company intended to faithfully translate the Japanese document into English, the accuracy and correctness of this English translation is not guaranteed and thus you are encouraged to refer to the original Japanese document.