

MONEX GROUP

Monex Group Creates the Sustainable Finance Department

TOKYO, January 22, 2021 - Monex Group, Inc. (headquarters: Tokyo, Japan, Representative Executive Officer and CEO: Oki Matsumoto; hereinafter Monex Group) is pleased to announce the creation of the Sustainable Finance Department in its subsidiary Monex, Inc. (headquarters: Tokyo, Japan, Representative Director and President: Yuko Seimei) and the launch of the Monex Climate Impact Fund scheduled for March this year.

In recognition that ESG activities are an integral part of day-to-day business, Monex Group established the ESG Promotion Secretariat internally to contribute to medium- and long-term improvement of corporate value. Now with the launch of the Sustainable Finance Department and the Monex Climate Impact Fund, Monex Group aims to meet ESG financial investor needs with a focus on solutions to environmental issues, one of the largest pillars of ESG targets. Monex Group will develop sustainable finance solutions for a broader range of clients, not only retail networks, an existing strength of the Group, but also end-money investors, as institutional investors play a large role in the ESG financial investment field.

Socially responsible investments in accordance with the United Nations Principles for Responsible Investment exceeded USD80 trillion in 2018 and Japan's sustainable investments increased 360% from 2016 to 2018 compared to the global average of 34%. At the G20 Riyadh Summit in November 2020, Prime Minister Yoshihide Suga stated his determination to achieve carbon neutrality with virtually zero greenhouse gas emissions by 2050 as an international commitment. As a responsible listed company, Monex Group is committed to providing smart sustainable finance solutions that support both social needs and rapidly growing investor needs, and to contribute further to leading "Solutions for the Earth" through innovation.

Monex Group's sustainable finance initiatives will include a full range of new activities with a positive impact on environment and society, as follows:

- **Monex Climate Impact Fund**, which focuses on global renewable energy solutions and green tech startups. The fund's energy experts will primarily focus on impact investment while optimizing returns along with the life cycle of underlying real assets, but will also invest in green tech startups;
- **Monex eGreen Fintech**, at the heart of our sustainable finance initiatives, will pave the way to further digital ESG innovation through an asset tracking and monitoring platform developed to ensure transparent and tamper-proof reporting of the environmental impact of each portfolio asset, leveraging advances in blockchain, AI and other state-of-the-art technologies.
- **Innovative sustainable finance products offering** sourced out of various global ESG underlying assets to match client needs according to their risk/return profile targets.

Sustainable Finance Team

Vincent THEBAULT (Managing Director)

30 years of the experience in the Japanese securities and asset management industries, overlooks the ESG investment advisory and green fintech

Takamichi ISHIKAWA (Managing Director)

20 years of experience in the Japanese securities industry, develops ESG product innovations

Gonzalo PUERTA (Advisor)

15 years of experience in the energy industry, covers projects origination in the EMEA area

Ramon ARROYO (Advisor)

11 years of experience in the energy industry, covers projects origination in Asia and Europe

Emiko TAKEDA (Business Manager: will join on 1st February 2021)

20 years of experience in research and investors relations in Japan, promotes the sustainable finance business

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This material is an English translation of a Japanese announcement made on the date above. Although the Company intended to faithfully translate the Japanese document into English, the accuracy and correctness of this English translation is not guaranteed and thus you are encouraged to refer to the original Japanese document.

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