

# MONEX GROUP

## Announcement of Changes in Management

TOKYO, November 26, 2020 - Monex Group, Inc. (“the Company”) hereby announces changes in management of its group companies named below.

Monex Group, Inc.

Monex, Inc.

### I. Monex Group, Inc.

#### 1. Change in Title of Executive Officer and appointment of Executive Officer and Executive Director

Effective January 1, 2021 (scheduled)

Name	New Title	Current Title
Yuko Seimei (*1)	Representative Executive Officer Chief Operating Officer Chief Financial Officer	Representative Executive Officer Chief Operating Officer
Takuya Yamanaka (*2)	Executive Officer	Executive Director
Hiroshi Aikawa (*3)	Executive Director	—

(\*1) Will continue to serve as Representative Director & President, Monex, Inc.

(\*2) Will continue to serve as Senior Executive Director, Monex, Inc.

(\*3) Will become Managing Director and continue to serve as Executive Director, Monex, Inc.

Effective December 31, 2020 (scheduled)

Name	New Title	Current Title
Toshihiko Katsuya	(Resign)	Senior Executive Officer Chief Financial Officer

#### 2. Changes in Responsibilities of Executive Officer

Effective January 1, 2021 (scheduled)

Name	Responsibilities (new)	Responsibilities (old)
Yuko Seimei	Chief Operating Officer Chief Financial Officer - Planning - Financial Controls - Japan Segment - Investment Segment	Chief Operating Officer - Planning - Japan Segment - Investment Segment - Human Resources
Kiminori Kaneko	- Information Security - Risk Management	- Information Security
Katsuki Mandai	- Japan Segment - Asia-Pacific Segment	- Japan Segment
Takuya Yamanaka	- Human Resources	—

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## Responsibilities of Executive Officers (As of January 1, 2021 (scheduled))

Name	Title	Responsibilities	Other important positions in the group
Oki Matsumoto	Representative Executive Officer & CEO	Chief Executive Officer - Overall Management - Monex Zero - Japan Catalyst, Inc.	- Managing Director & Chairman, Monex, Inc. - Chairman of the Board of Directors, TradeStation Group, Inc. - Chairman, Coincheck, Inc.
Yuko Seimei	Representative Executive Officer	Chief Operating Officer/ Chief Financial Officer - Planning - Financial Controls - Japan Segment - Investment Segment	- Representative Director & President, Monex, Inc. - Director, TradeStation Group, Inc.
Kiminori Kaneko	Executive Officer	- Information Security - Risk Management	- Managing Director, Monex, Inc.
Takashi Oyagi	Executive Officer	Chief Strategic Officer - US Segment	- Director & Chief Strategic Officer, TradeStation Group, Inc.
John Bartleman	Executive Officer	- US Segment	- Director & President, TradeStation Group, Inc.
Shoji Kuwashima	Executive Officer	Chief Quality Officer/ Vice Chairman - Quality Controls - Crypto Asset Segment - Corporate Communications - Crisis Management	- Managing Director, Coincheck, Inc.
Masayuki Okamoto	Executive Officer	Chief Administrative Officer/ General Counsel - Internal Controls - Legal	- Managing Director, Monex, Inc.
Katsuki Mandai	Executive Officer	- Japan Segment - Asia-Pacific Segment	- Managing Director and Vice President, Monex, Inc.
Takuya Yamanaka	Executive Officer	- Human Resources	- Senior Executive Director, Monex, Inc.

### 3. Executive Director Change

Effective January 1, 2021 (scheduled)

Name	New Position	Current Position
Hiroshi Aikawa	Executive Director Assistant General Manager, Financial Control Department	—

## II. Monex, Inc.

### 1. Managing Director Changes

Effective January 1, 2021 (scheduled)

Name	New Position	Current Position
Hiroshi Aikawa	Managing Director & Executive Director	Executive Director

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Effective December 31, 2020 (scheduled)

Name	New Position	Current Position
Toshihiko Katsuya	(Resign)	Managing Director