

MONEX GROUP

Announcement of Changes in Management, Personnel and Organization

TOKYO, January 24, 2020 - Monex Group, Inc. (“the Company”) hereby announces changes in management, personnel and organization of its group companies named below.

Monex Group, Inc.

Monex, Inc.

I. Monex Group, Inc.

1. Representative Executive Officer Change

Effective January 29, 2020

Name	New Position	Current Position
Yuko Seimei (*1)	Representative Executive Officer Chief Operating Officer	Senior Executive Officer Chief Operating Officer

(*1) Will continue to serve as Representative Director & President, Monex, Inc.

Biographical information of new Representative Director & President (As of January 29, 2020)

Name Yuko Seimei (Date of Birth: September 8, 1977)
Number of Shares held: 97,300 (As of September 30, 2019)

Biography Mar. 2001 Graduated from Kyoto University (Department of Economics)
Apr. 2001 Joined The Sanwa Bank, Ltd. (currently MUFG Bank, Ltd.)
Dec. 2006 Joined MKS Partners Ltd
Feb. 2009 Joined Monex Group, Inc.
Jun. 2011 Representative Director, Monex Hambrecht, Inc.
Mar. 2013 Executive Director, Monex Group, Inc.
Jun. 2015 Senior Executive Director, Monex Group, Inc.
Jun. 2016 Executive Officer, Monex Group, Inc.
Dec. 2016 Representative Director, Monex Ventures, Inc.
Apr. 2017 Representative Director, Monex-Saison-Vanguard Investment Partners, Inc.
Apr. 2017 Senior Executive Director (Johmu), Monex, Inc.
Oct. 2017 Senior Executive Director (Senmu), Monex, Inc.
Mar. 2018 Managing Director, Monex-Saison-Vanguard Investment Partners, Inc.
Apr. 2018 Senior Executive Officer, Monex Group, Inc.
Apr. 2018 Executive Vice President, Monex, Inc.
Apr. 2018 Director, TradeStation Group, Inc. (Present)
Apr. 2019 Representative Director & President, Monex, Inc. (Present)
Nov. 2019 Senior Executive Officer & COO, Monex Group, Inc.
Jan. 2020 Representative Executive Officer & COO, Monex Group, Inc. (Present)

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[Supplementary Information] Management of the Company

Responsibilities of Executive Officers (As of January 29, 2020)

Name	Title	Responsibilities	Other important positions in the group
Okii Matsumoto	Representative Executive Officer & President	Chief Executive Officer - Overall Management - Monex Zero - Corporate communications	- Managing Director & Chairman, Monex, Inc. - Chairman of the Board of Directors, TradeStation Group, Inc. - Chairman, Coincheck, Inc.
Yuko Seimei	Representative Executive Officer	Chief Operating Officer - Planning - Japan Segment - Investment business	- Representative Director & President, Monex, Inc. - Director, TradeStation Group, Inc.
Toshihiko Katsuya	Senior Executive Officer	Chief Financial Officer - Financial controls - Asia-Pacific Segment - Risk management	- Managing Director, Monex, Inc. - Director, Monex Finance Corporation - Director, TradeStation Group, Inc.
Satoshi Hasuo	Executive Officer	- Crypto Asset business	- Representative Director, President & Executive Director, Coincheck, Inc.
Kiminori Kaneko	Executive Officer	- Quality controls - Information security	- Managing Director, Monex, Inc.
Takashi Oyagi	Executive Officer	Chief Strategic Officer - US Segment	- Director & Chief Strategic Officer, TradeStation Group, Inc.
John Bartleman	Executive Officer	- US Segment	- Director & President, TradeStation Group, Inc.
Shoji Kuwashima	Executive Officer	Chief Quality Officer/ Vice Chairman - Human resources - Quality controls - Information security - Crisis management	- Executive Director, Coincheck, Inc.
Masayuki Okamoto	Executive Officer	Chief Administrative Officer General Counsel - Internal controls	- Managing Director, Monex, Inc.
Katsuki Mandai	Executive Officer	- Japan Segment	- Managing Director and Vice President, Monex, Inc.

II. Monex, Inc.

1. Organization Change

Monex, Inc. will make the following organizational change effective January 29, 2020.

- Newly established Asset Design Proposal Department.

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2. Representative Director and Managing Director Changes

Effective January 29, 2020

Name	New Position	Current Position
Oki Matsumoto	Managing Director & Chairman	Representative Director & Chairman
Toshihiko Katsuya(*2)	Managing Director	-
Masayuki Okamoto(*3)	Managing Director	-

(*2) Will continue to serve as Senior Executive Officer & Chief Financial Officer, Monex Group, Inc.

(*3) Will continue to serve as Executive Officer, Chief Administrative Officer & General Counsel, Monex Group, Inc.

Effective January 28, 2020

Name	New Position	Current Position
Hisashi Tanaami	(Resign)	Managing Director & Deputy Chairman

3. Executive Changes

Effective February 1, 2020

Name	New Position	Current Position
Rikiji Maki	Executive Director, General Manager, Marketing Department	General Manager, Marketing Department
Shinichiro Yamada	Executive Director, General Manager, Products Department	General Manager, Products Department

4. Personnel Change

Effective January 29, 2020

Name	New Position	Current Position
Akira Tokunaga	General Manager, Asset Design Proposal Department	-

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