

# MONEX GROUP

## Announcement of Subsidiary's Release

TOKYO, October 25, 2019 – Monex, Inc., a fully owned subsidiary of Monex Group, Inc., issued the following press release today.

Attachment: Summary of Monex, Inc. press release

New Account Opening Procedures are Completed Online  
Customers Can Trade as Soon as Next Business Day of Account Opening Application

---

Contact: Akiko Kato  
Corporate Communications Office  
Monex Group, Inc.  
+81-3-4323-8698

Atsushi Demoto, Yuki Nakano  
Investor Relations, Financial Control Department  
Monex Group, Inc.  
+81-3-4323-8698

This material is an English translation of a Japanese announcement made on the date above. Although the Company intended to faithfully translate the Japanese document into English, the accuracy and correctness of this English translation is not guaranteed and thus you are encouraged to refer to the original Japanese document. This translation was made as a matter of record only and does not constitute an offer to sell or to solicit an offer to buy securities in the U.S.

## **New Account Opening Procedures are Completed Online** **Customers Can Trade as Soon as Next Business Day of Account Opening Application**

TOKYO, October 25, 2019 - Monex, Inc. (Headquarters: Minato-ku, Tokyo; Representative Director and President: Yuko Seimei, hereinafter “Monex”) announced today that it has changed the account opening process to fully complete online omitting the mandatory mail receipt which was indispensable so far. This service allows customers to start trading as soon as next business day of the submission of account opening application.

### **■ Background**

In the past, when accepting online applications for a new account opening, it was necessary to verify customers’ identification by mail receipt due to the legal requirement of Ordinance for Enforcement of the Act on Prevention of Transfer of Criminal Proceeds. However, with the amendments of the law, the identity verification process can now be completed online by uploading customers’ photo IDs via smartphones. Monex believes that this service would further improve customer’s convenience.

The service is enabled by D-trust, an identity verification system suitable to KYC, offered by Double Standard Inc. (Headquarters: Minato-ku, Toyo; Representative Director: Yasuhiro Shimizu, hereinafter “Double Standard”)

Monex is the first major online brokerage company to enable customers to complete their new account application via smartphones including the iPhones (\*1).

\*1 Researched by Monex as of October 25, 2019. Major online brokerages include SBI SECURITIES CO., Ltd., kabu.com Securities CO., Ltd., Matsui Securities Co.Ltd., Rakuten Securities, Inc, Monex, Inc.

### **■ Features**

#### **1. Identity verification completed online**

This service completes the identity verification process online when you apply to open an account through the website. To complete the identity verification, customers are only asked to take photos of their photo IDs and their faces using their smartphones. This significantly reduces time and effort for identity verification at the time of opening a new account, which has traditionally required physical mailing of such document.

#### **2. Data entry by all types of devices**

Customers can choose to save their data in the browser as they prepare the application for opening a new account. This allows them to resume the application in the middle of the process and switch devices from a PC to a smartphone. They can of course switch back to using the PC to enjoy the flexibility of typing texts on the PC while taking photos with the smartphone.

As outlined in “[For Creative Minds](#),” a brand statement announced by Monex Group in November 2018, Monex, Inc. is committed to proposing innovative, “future finance,” with a creative mindset, leveraging new ideas and perspectives that go beyond the traditional framework.

---

Contacts: Maho Tsugawa  
Public Relations Office, Monex, Inc.  
+81-3-4323-3981

This material is an English translation of a Japanese announcement made on the date above. Although the Company intended to faithfully translate the Japanese document into English, the accuracy and correctness of this English translation is not guaranteed and thus you are encouraged to refer to the original Japanese document. This translation was made as a matter of record only and does not constitute an offer to sell or to solicit an offer to buy securities outside Japan.