

# MONEX GROUP

## Announcement of Changes in Management, Personnel and Organization

TOKYO, March 27, 2019 - Monex Group, Inc. ("the Company") hereby announces changes in management, personnel and organization of its group companies named below in order to strengthen management structure and enhance collaboration among group companies.

Oki Matsumoto, Representative Executive Officer and President of the Company, will become Representative Director and Chairman of Monex, Inc. ("Monex") and Chairman of Coincheck, Inc. ("Coincheck"). The Company will further promote group-wide management more than ever.

In Monex, Yuko Seimei, Executive Vice President, will newly become Representative Director and President, and will be responsible for its management while cooperating with group companies.

In addition, three Executive Directors of Coincheck will concurrently serve as Executive Directors of the Company to enhance cooperation with group companies, and in Monex, diverse employees will be aggressively promoted to managerial positions.

With the new management structure, we expect to further revitalize the organization, move our business forward with more flexibility, and realize synergy effects among the group companies:

Monex Group, Inc.  
Monex, Inc.  
Coincheck, Inc.  
Monex-Saison-Vanguard Investment Partners, Inc.

### I. Monex Group, Inc.

#### 1. Changes in Responsibilities of Executive Officer

Effective April 1, 2019

Name	Responsibilities (new)	Responsibilities (old)
Yuko Seimei	- Planning - Japan Segment - Investment business	- Planning - Japan Segment

[Supplementary Information] Management of the Company

Responsibilities of Executive Officers (As of April 1, 2019)

Name	Title	Responsibilities	Other important positions in the group
Oki Matsumoto	Representative Executive Officer & President	Chairman and Chief Executive Officer - Overall management - Monex Zero	- Representative Director & Chairman, Monex, Inc. - Chairman of the Board of Directors, TradeStation Group, Inc. - Chairman, Coincheck, Inc.
Yuko Seimei	Senior Executive Officer	- Planning - Japan Segment - Investment business	- Representative Director & President, Monex, Inc. - Director, TradeStation Group, Inc.
Toshihiko Katsuya	Senior Executive Officer	- Crypto Asset business	- Representative Director & President, Coincheck, Inc.

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Name	Title	Responsibilities	Other important positions in the group
Satoshi Hasuo	Executive Officer	Chief Financial Officer - Financial controls - Asia-Pacific Segment - Risk management	- Managing Director & Senior Executive Director, Monex, Inc. - Director, Monex Finance Corporation - Director, TradeStation Group, Inc.
Kiminori Kaneko	Executive Officer	- Japan Segment	- Representative Director & Executive Vice President, Monex, Inc.
Takashi Oyagi	Executive Officer	Chief Strategic Officer - US Segment	- Director & Chief Strategic Officer, TradeStation Group, Inc.
John Bartleman	Executive Officer	- US Segment	- Director & President, TradeStation Group, Inc.
Shoji Kuwashima	Executive Officer	Chief Quality Officer/ Vice Chairman - Human resources - Quality controls - Corporate communications - Information security	- Managing Director, Monex, Inc.
Hisashi Tanaami	Executive Officer	Chief Administrative Officer - Internal controls	- Managing Director & Vice Chairman, Monex, Inc.
Masaki Ueda	Executive Officer	- Crypto Asset business	- Managing Director, Coincheck, Inc.

## 2. Executive Officer Resignation

Effective March 31, 2019

Name	New Position	Current Position
Koichi Tateno	(Resign) *	Senior Executive Officer Chief Investment Officer

\* Will become Senior Advisor on April 1, 2019.

## 3. Organizational Change

The Company will integrate Finance Department into Financial Control Department effective April 1, 2019.

## 4. Management and Personnel Changes

Effective April 1, 2019

Name	New Position	Current Position
Koichi Tateno	Senior Advisor	Senior Executive Officer Chief Investment Officer
Takuya Yamanaka (*1)	Executive Director & General Manager of Human Resource Department	-

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Name	New Position	Current Position
Seiji Sakuma	Executive Director General Manager, Internal Audit Office	General Manager, Internal Audit Office & General Manager, Audit Committee Office
Koichiro Wada (*2)	Executive Director Monex Zero Office	-
Yusuke Otsuka (*3)	Executive Director Strategic Planning Office	-
Neal Sato (*4)	Executive Director in charge of global engineering collaborations	-
Hisashi Tanaami (*5)	Executive Officer and Chief Administrative Officer General Manager, CEO Office & General Manager, Audit Committee Office	Executive Officer and Chief Administrative Officer General Manager, CEO Office

(\*1) Will continue to serve as Senior Executive Director, Monex, Inc.

(\*2) Will be promoted to and concurrently serve as Senior Executive Director, Coincheck, Inc.

(\*3) Will continue to serve as Executive Director, Coincheck, Inc.

(\*4) Will be promoted to and concurrently serve as Executive Director, Coincheck, Inc.

(\*5) Will continue to serve as Managing Director and Vice Chairman, Monex, Inc.

## II. Monex, Inc.

Monex, Inc. decided to change its personnel effective April 1, 2019 as described below.

### 1. Representative Director Changes

Effective April 1, 2019

Name	New Position	Current Position
Oki Matsumoto	Representative Director & Chairman	Representative Director & President
Yuko Seimei	Representative Director & President	Executive Vice President

Biographical information of new Representative Director & President (As of Apr. 1, 2019)

Name	Yuko Seimei	(Date of Birth: September 8, 1977)
Biography	Mar. 2001	Graduated from Kyoto University (Department of Economics)
	Apr. 2001	Joined The Sanwa Bank, Ltd. (currently MUFG Bank, Ltd.)
	Dec. 2006	Joined MKS Partners Ltd
	Feb. 2009	Joined Monex Group, Inc.
	Mar. 2013	Executive Director, Monex Group, Inc.

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Jun. 2015	Senior Executive Director, Monex Group, Inc.
Jun. 2016	Executive Officer, Monex Group, Inc.
Dec. 2016	Representative Director, Monex Ventures, Inc.
Apr. 2017	Representative Director, Monex-Saison-Vanguard Investment Partners, Inc.
Apr. 2017	Senior Executive Director (Johmu), Monex, Inc.
Oct. 2017	Senior Executive Director (Senmu), Monex, Inc.
Mar. 2018	Managing Director, Monex-Saison-Vanguard Investment Partners, Inc.
Apr. 2018	Senior Executive Officer, Monex Group, Inc. (Present)
Apr. 2018	Executive Vice President, Monex, Inc.
Apr. 2018	Director, TradeStation Group, Inc. (Present)
Apr. 2019	Representative Director & President, Monex, Inc.

## 2. Executive Director Change

Effective March 31, 2019

Name	Responsibilities (new)	Responsibilities (old)
Yasuyuki Kotera*	(Resign)	Executive Director

\* Expected to become Managing Director of Monex-Saison-Vanguard Investment Partners, Inc. on April 1, 2019.

## 3. Management and Personnel Changes

Effective April 1, 2019

Name	New Position	Current Position
Yuya Asamura	Associate Director in charge of Corporate Finance Department	-
Kazunari Ohuchi	General Manager, Internal Audit Office	General Manager, Accounting & Finance Department
Rikiji Maki	General Manager, Marketing Department & General Manager, TradeStation Promotion Office	General Manager, Products Department
Hiroshi Iino	General Manager, Customer Service Planning Office	-
Shinichiro Yamada	General Manager, Products Department	General Manager, Marketing Department & General Manager, TradeStation Promotion Office
Koichi Inazawa	General Manager, Corporate Administration Department	-

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Name	New Position	Current Position
Tomokazu Furukawa	General Manager, Accounting & Finance Department	-

## III. Coincheck, Inc.

### 1. Management Changes

Effective April 1, 2019

Name	New Position	Current Position
Oki Matsumoto	Managing Director & Chairman	Managing Director
Koichiro Wada	Senior Executive Director	Executive Director
Neal Sato	Executive Director	-

## IV. Monex-Saison-Vanguard Investment Partnership, Inc.

### 1. Management Resignation

Effective March 31, 2019

Name	New Position	Current Position
Yuko Seimei	(Resign)	Managing Director

### 2. Management Change

Effective April 1, 2019

Name	New Position	Current Position
Yasuyuki Kotera	Managing Director	-

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