

November 2017: Monthly Disclosure

TOKYO, December 5, 2017 – Monex Group, Inc. (TSE 8698, Oki Matsumoto, Representative Executive Officer and CEO) today reported the following monthly business metrics of its subsidiaries.

Global DARTs

	Nov. 2016	Dec. 2016	Jan. 2017	Feb. 2017	Mar. 2017	Apr. 2017	May 2017	Jun. 2017	Jul. 2017	Aug. 2017	Sep. 2017	Oct. 2017	Nov. 2017
Number of DARTs (per business day)	307,856	269,289	273,641	262,809	257,717	245,558	269,782	271,648	263,329	257,715	263,056	271,376	299,530

(Notes) 1. DARTs stand for Daily Average Revenue Trades meaning the number of trades and transactions per day which generate revenue.

2. Global DARTs are the sum of the DARTs of subsidiary securities companies under Monex Group, Inc.

Monex, Inc.

	Nov. 2016	Dec. 2016	Jan. 2017	Feb. 2017	Mar. 2017	Apr. 2017	May 2017	Jun. 2017	Jul. 2017	Aug. 2017	Sep. 2017	Oct. 2017	Nov. 2017
Number of business days	20	21	19	20	22	20	20	22	20	22	20	21	20

Number of Accounts (end of month)

Number of total accounts	1,674,318	1,679,863	1,684,158	1,691,012	1,696,123	1,699,914	1,703,997	1,709,602	1,714,173	1,720,049	1,725,701	1,731,556	1,737,194
Number of active accounts in total accounts	1,014,391	1,016,439	1,018,325	1,020,676	1,023,369	1,024,232	1,025,762	1,030,217	1,032,023	1,034,340	1,036,609	1,039,046	1,040,506
Number of margin accounts	108,946	109,507	109,984	110,404	110,954	111,428	111,818	112,300	112,772	113,468	114,132	114,892	115,693
Number of active accounts in margin accounts	49,720	49,759											
Customers' assets in custody (in billion yen)	3,706.9	3,798.4	3,792.0	3,831.7	3,803.1	3,808.1	3,872.6	3,977.3	4,000.9	4,007.9	4,098.8	4,220.6	4,271.9

Stock Brokerage

Average number of trades per business day	Cash	81,011	83,612	71,750	72,396	70,759	63,056	75,581	85,203	81,823	74,159	74,320	86,309	93,583
	Margin	41,784	39,738	34,910	36,188	34,036	33,668	36,573	37,548	36,530	32,907	35,803	40,557	45,193
	Total	122,795	123,351	106,660	108,584	104,796	96,725	112,155	122,751	118,354	107,067	110,124	126,867	138,777
Average trade value (in thousand yen)	Cash	426	440	397	403	440	472	464	454	401	439	429	454	469
	Margin	696	760	718	701	710	710	736	793	740	699	729	814	856
	Total	518	543	502	502	527	555	553	558	505	519	527	569	595
Monthly trade value (in billion yen)	1,273.0	1,408.4	1,019.1	1,091.2	1,217.1	1,074.3	1,241.4	1,507.9	1,197.5	1,223.8	1,160.8	1,517.2	1,652.1	
Margin balance (end of month)(in billion yen)	142.9	150.0	152.9	161.5	166.0	155.5	159.1	165.3	171.3	171.6	163.3	167.0	181.7	

FX Trading (OTC FX)

Number of OTC FX accounts	232,764	233,779	234,426	234,755	235,137	235,500	236,154	237,943	238,746	239,812	241,020	242,150	242,924
Number of active accounts in OTC FX accounts	66,307	66,234	66,058	65,441	65,175	64,943	64,777	65,094	65,179	65,288	65,371	65,483	64,728
Average trade value per business day (in million yen)	213,618	140,692	198,553	155,848	108,692	95,000	122,731	105,193	98,114	97,671	144,138	115,069	145,877

DARTs

Number of DARTs (per business day)	203,358	181,477	179,379	163,924	157,294	145,949	166,308	173,075	170,120	160,516	172,099	183,656	200,463
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(Notes)

- The number of total accounts:
 - "Number of total accounts" refers to the sum of brokerage accounts and FX-only accounts.
 - "Number of active accounts in total accounts" refer to accounts with balances at the end of each month or accounts which have been traded (including withdrawal) in the past 1 year.
- The number of margin accounts;
 - Monex, Inc. replaced its backbone system in January 2017, which enables it to combine margin guarantee deposits with other deposits under a customer's margin account unlike separate management of these deposits in and before December 2016. As we included the number of margin accounts with margin guarantee deposits in the "number of active accounts in margin accounts", this figure is not available anymore.
- The customers' assets in custody:
 - "Customers' assets in custody" include securities that have been lent to Monex, Inc. through the securities lending service program.
- Stock brokerage:
 - "Stock Brokerage" data include stocks, ETFs and REITs traded only in Japanese markets.

5. FX Trading (OTC FX):

- Monex, Inc. provides several services of FX Trading (OTC FX) and “Number of OTC FX accounts” and “Number of active accounts in OTC FX accounts” exclude duplicate active accounts at Monex, Inc.
- “Number of active accounts in OTC FX accounts” refer to accounts with balances of FX guarantee deposits received at the end of each month or accounts which have been traded in FX accounts (including withdrawal from FX guarantee deposits) in the past 1 year.

6. DARTs:

- DARTs of Monex, Inc. comprise trades of stock brokerage, futures and options, OTC FX, mutual funds, TFX-CFD and bullion (gold, silver & platinum).

TradeStation Group

	Nov. 2016	Dec. 2016	Jan. 2017	Feb. 2017	Mar. 2017	Apr. 2017	May 2017	Jun. 2017	Jul. 2017	Aug. 2017	Sep. 2017	Oct. 2017	Nov. 2017
Number of business days	20.5	20.5	20	19	23	19	22	22	20	23	20	22	20.5

Number of active accounts (end of month)	61,819	61,886	62,045	62,243	63,024	63,819	64,787	65,728	65,880	66,788	67,353	68,364	69,108
Total client assets [end of month] (in million dollars)	4,093	4,102	4,201	4,311	4,340	4,348	4,460	4,503	4,619	4,694	4,747	4,853	4,950
Average equities clients credit balance (in million dollars)	1,433	1,433	1,449	1,446	1,458	1,473	1,462	1,446	1,470	1,520	1,518	1,518	1,494
Average equities margin clients debit balance (in million dollars)	64	64	73	79	71	72	84	90	85	79	83	84	85

DARTs

Number of DARTs (per business day)	105,400	89,059	95,505	99,588	101,121	100,543	104,339	99,261	93,932	97,722	91,133	88,571	99,697
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(Notes) 1. “Active accounts” mean the number of accounts (equities, futures etc) whose balance is more than 200 dollars or which have been traded in the past 6 months as of the end of each month.

2. DARTs of TradeStation Group are the number of revenue generating trades in a securities company under TradeStation Group, Inc. DARTs mainly include the DARTs of stocks, futures and options.

*The preliminary numbers reported above may be updated in the future.

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