

MONEX GROUP

Announcement of Changes in Management, Personnel and Organization

TOKYO, October 27, 2017 - Monex Group, Inc. (“the Company”) hereby announces changes in management, personnel and organization of its group companies named below:

Monex Group, Inc.
Monex, Inc.

I. Monex Group, Inc.

1. Changes in Responsibilities of Executive Officers

For the purpose to get the MONEX’s New Beginning (*) started, the Company decided today to change responsibilities of its Executive Officers as follows effective immediately:

(*) *Please refer to “Financial Results for 2Q of Fiscal Year Ending march 31, 2018” announced today for detail on the MONEX’s New Beginning.*

Effective October 27, 2017

Name Title	Responsibilities (new)	Responsibilities (old)
Toshihiko Katsuya Executive Officer	Chief Operating Officer - Financial controls - Asia-Pacific Segment	- Japan Segment
Satoshi Hasuo Executive Officer	Chief Financial Officer - Financial controls - Asia-Pacific Segment - Risk management	Co- Chief Financial Officer - Financial controls
Koichi Tateno Executive Officer	Chief Investment Officer - Investment business	Chief Operating Officer Co- Chief Financial Officer - Overall management - Asia-Pacific Segment - Kaizen
Hisashi Tanaami Executive Officer	- Relationship management	Chief Administrative Officer - Internal controls - Relationship management
Yuko Seimei Executive Officer	- Planning - Japan Segment - Asset management business	- Planning - Monex Ventures, Inc. - Trade Science Corporation - Monex-Saison-Vanguard Investment Partners, Inc. - Monex Zero
Nozomi Takasaki Executive Officer	Chief Administrative Officer - Internal controls - Corporate Secretary	Co-Chief Administrative Officer - Internal controls - Corporate Secretary

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[Supplementary Information] Management of the Company

Responsibilities of Executive Officers (After changes on October 27, 2017)

Name Title	Responsibilities	Other important positions in the group
Oki Matsumoto Representative Executive Officer & President	Chief Executive Officer	- Representative Director & President, Monex, Inc. - Chairman of the Board of Directors, TradeStation Group, Inc.
Toshihiko Katsuya Executive Officer	Chief Operating Officer - Financial controls - Asia-Pacific Segment	- Managing Director, Monex, Inc.
Satoshi Hasuo Executive Officer	Chief Financial Officer - Financial controls - Asia-Pacific Segment - Risk management	- Executive Director, Monex, Inc. - Representative Director, Monex Finance Corporation
Koichi Tateno Executive Officer	Chief Investment Officer - Investment business	- Director, TradeStation Group, Inc. - Representative Director & President, Japan Growth Investments Alliance Inc.
Kiminori Kaneko Executive Officer	- Japan Segment	- Representative Director & Deputy President, Monex, Inc.
Takashi Oyagi Executive Officer	Chief Strategic Officer - US Segment	- Director & Chief Strategic Officer, TradeStation Group, Inc.
John Bartleman Executive Officer	- US Segment	- Director & President, TradeStation Group, Inc.
Shoji Kuwashima Executive Officer	Chief Quality Officer - Human resources - Quality controls - Corporate communications - Information security	- Managing Director, Monex, Inc.
Hisashi Tanaami Executive Officer	- Relationship management	- Managing Director & Vice Chairman, Monex, Inc.
Yuko Seimei Executive Officer	- Planning - Japan Segment - Asset management business	- Senior Executive Director, Monex, Inc. - Representative Director, Monex Ventures, Inc. - Representative Director, Monex-Saison-Vanguard Investment Partners, Inc.
Masaki Ueda Executive Officer	- Monex Zero	- Senior Executive Director, Monex, Inc.
Nozomi Takasaki Executive Officer	Chief Administrative Officer - Internal controls - Corporate Secretary	- Senior Executive Director, Monex, Inc.

2. Organizational Change

The Company established Corporate Communications Office effective October 27, 2017.

3. Personnel Change

Effective October 27, 2017

Name	New Position	Current Position
Akiko Kato	General Manager, Corporate Communications Office	-

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II. Monex, Inc.

In conjunction with the changes in the responsibilities of Executive Officers of Monex Group, Inc. mentioned above, Monex, Inc. decided today to change its representative director, management and personnel as below effective immediately.

1. Changes to Representative Director and Management

Effective October 27, 2017

Name	New Position	Current Position
Oki Matsumoto	Representative Director & President	Managing Director & Chairman
Toshihiko Katsuya	Managing Director	Representative Director & President
Yuko Seimei	Senior Executive Director (Senmu)	Senior Executive Director (Johmu)
Satoshi Hasuo	Senior Executive Director (Johmu)	Executive Director
Koichi Tateno	(Resign)	Senior Executive Director (Senmu)

2. Personnel Changes

Effective October 27, 2017

Name	New Position	Current Position
Hisashi Tanaami	Managing Director & Vice Chairman Head of Customer Services Division	Managing Director & Vice Chairman
Takuya Yamanaka	Managing Director & Senior Executive Director (Johmu)	Managing Director & Senior Executive Director (Johmu) Head of Customer Services Division

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