

MONEX GROUP

Announcement of Changes in Management, Personnel and Organization

TOKYO, June 21, 2017 - Monex Group, Inc. (“the Company”) hereby announces changes in management, personnel and organization of its group companies named below:

Monex Group, Inc.
Monex, Inc.
Trade Science Corporation
Monex-Saison-Vanguard Investment Partners, Inc.

I. Monex Group, Inc.

1. Appointment of New Executive Officer

The Company will newly appoint an Executive Officer by resolution of the first meeting of its board of directors to be held after its 13th annual general meeting of shareholders on June 24, 2017.

New Executive Officer candidate for appointment (Effective June 24, 2017):

Nozomi Takasaki (Current position: Senior Executive Director)

[Name on family register: Nozomi Banno]

[Supplementary Information] Management of the Company

Responsibilities of Executive Officers

(Effective June 24, 2017 at the closure of the first meeting of the board of directors of the Company to be held after its 13th annual general meeting of shareholders.)

Name	Title	Responsibilities	Other important positions in the group
Oki Matsumoto	Representative Executive Officer & President	- Chief Executive Officer	- Chairman and Managing Director, Monex, Inc. - Chairman of the Board of Directors, TradeStation Group, Inc.
Koichi Tateno	Executive Officer	- Chief Operating Officer - Co- Chief Financial Officer - Overall management - Asia-Pacific Segment - Kaizen	- Senior Executive Director, Monex, Inc. - Director, TradeStation Group, Inc. - Representative Director & President, Japan Growth Investments Alliance Inc.
Satoshi Hasuo	Executive Officer	- Co- Chief Financial Officer - Financial controls	- Executive Director, Monex, Inc. - Representative Director, Monex Finance Corporation
Toshihiko Katsuya	Executive Officer	- Japan Segment	- Representative Director & President, Monex, Inc.
Kiminori Kaneko	Executive Officer	- Japan Segment	- Representative Director & Deputy President, Monex, Inc.
Takashi Oyagi	Executive Officer	- Chief Strategic Officer - US Segment	- Director & Chief Strategic Officer, TradeStation Group, Inc.
John Bartleman	Executive Officer	- US Segment	- Director & President, TradeStation Group, Inc.
Shoji Kuwashima	Executive Officer	- Chief Quality Officer - Human resources - Quality - Corporate communications - Information security	- Managing Director, Monex, Inc.

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Name	Title	Responsibilities	Other important positions in the group
Hisashi Tanaami	Executive Officer	- Chief Administrative Officer - Internal controls - Relationship management	- Managing Director & Vice Chairman, Monex, Inc.
Yuko Seimei	Executive Officer	- Planning - Monex Ventures, Inc. - Trade Science Corporation - Monex-Saison-Vanguard Investment Partners, Inc. - Monex Zero	- Senior Executive Director, Monex, Inc. - Representative Director, Monex Ventures, Inc. - Representative Director, Monex-Saison-Vanguard Investment Partners, Inc.
Masaki Ueda	Executive Officer	- Monex Zero	- Senior Executive Director, Monex, Inc.
Nozomi Takasaki	Executive Officer	- Co-Chief Administrative Officer - Internal controls - Corporate Secretary	-

2. Organizational Change

In order to pursue designing innovative ways of managing money and support everyone's investment and economic needs, as stated in its business principles, the Company will newly establish Monex Zero Office effective July 1, 2017.

3. Personnel Change

Effective July 1, 2017

Name	New Position	Current Position
Atsushi Iida	General Manager, Monex Zero Office	-

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II. Monex, Inc.

Monex, Inc. decided to change its management, personnel and organization effective July 1, 2017 as described below so as to achieve greater growth of its customer base.

1. Management Changes

Effective July 1, 2017

Name	New Position	Current Position
Nozomi Takasaki	Senior Executive Director	-
Harumi Katsumata	Executive Director	-
Yasuyuki Kotera	Executive Director	General Manager, Human Resources Department

2. Organizational Changes

Monex, Inc. will make the following organizational changes effective July 1, 2017.

- Newly establish “Public Relations Office.”
- Split Sales Division into two organizations to form “Sales Division” and “Customer Services Division.” “Hachinohe Contact Center,” “Nihonbashi Contact Center” and “Client Account Department” will be removed from the Sales Division and placed under the Customer Services Division.

3. Personnel Changes

Effective July 1, 2017

Name	New Position	Current Position
Takuya Yamanaka	Managing Director & Senior Executive Director Head of Customer Services Division	Managing Director & Senior Executive Director Head of Sales Division
Junya Matsuoka	Managing Director & Executive Director Head of Sales Division & General Manager, Marketing Department, Sales Division	Managing Director & Executive Director General Manager, Marketing Department, Sales Division
Terumitsu Kanai	Senior Executive Director General Manager, Client Account Department, Customer Services Division	Senior Executive Director
Yuichi Miyamoto	General Manager, Public Relations Office	-

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Name	New Position	Current Position
Tomomitsu Moriyama	General Manager, Human Resources Department	General Manager, Client Account Department, Sales Division
Masanao Ihara	General Manager, Quality Management Office, Operations Division	-

III. Trade Science Corporation

1. Director Change

Effective June 16, 2017

Name	New Position	Current Position
Koichi Kato	(Retirement)	Managing Director

IV. Monex-Saison-Vanguard Investment Partnership, Inc.

1. Director Change

Effective June 16, 2017

Name	New Position	Current Position
Tomoshige Nakamura	Chairman and Managing Director	Chairman

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