

## April 2017: Monthly Disclosure

TOKYO, May 8, 2017 – Monex Group, Inc. (TSE 8698, Oki Matsumoto, Representative Executive Officer and CEO) today reported the following monthly business metrics of its subsidiaries in April 2017.

### Global DARTs

	Apr. 2016	May 2016	Jun. 2016	Jul. 2016	Aug. 2016	Sep. 2016	Oct. 2016	Nov. 2016	Dec. 2016	Jan. 2017	Feb. 2017	Mar. 2017	Apr. 2017
Number of DARTs (per business day)	299,629	274,230	293,426	275,082	231,108	251,188	236,895	307,856	269,289	273,641	262,809	257,717	245,558

(Notes) 1. DARTs stand for Daily Average Revenue Trades meaning the number of trades and transactions per day which generate revenue.

2. Global DARTs are the sum of the DARTs of subsidiary securities companies under Monex Group, Inc.

### Monex, Inc.

	Apr. 2016	May 2016	Jun. 2016	Jul. 2016	Aug. 2016	Sep. 2016	Oct. 2016	Nov. 2016	Dec. 2016	Jan. 2017	Feb. 2017	Mar. 2017	Apr. 2017
Number of business days	20	19	22	20	22	20	20	20	21	19	20	22	20

### Number of Accounts (end of month)

Number of total accounts	1,639,437	1,643,568	1,649,847	1,655,294	1,658,703	1,663,648	1,668,473	1,674,318	1,679,863	1,684,158	1,691,012	1,696,123	1,699,914
Number of active accounts in total accounts	996,990	998,726	1,002,251	1,004,968	1,006,878	1,009,346	1,011,472	1,014,391	1,016,439	1,018,325	1,020,676	1,023,369	1,024,232
Number of margin accounts	105,513	105,850	106,271	106,726	107,135	107,703	108,247	108,946	109,507	109,984	110,404	110,954	111,428
Number of active accounts in margin accounts	50,312	50,276	50,191	50,067	49,646	49,500	49,529	49,720	49,759	/	/	/	/
Customers' assets in custody (in billion yen)	3,472.9	3,533.0	3,305.9	3,425.7	3,451.3	3,451.8	3,553.8	3,706.9	3,798.4	3,792.0	3,831.7	3,803.1	3,808.1

### Stock Brokerage

Average number of trades per business day	Cash	75,472	67,462	72,772	69,369	59,299	59,441	59,300	81,011	83,612	71,750	72,396	70,759	63,056
	Margin	47,503	40,614	39,471	39,694	32,459	33,515	33,164	41,784	39,738	34,910	36,188	34,036	33,668
	Total	122,975	108,077	112,244	109,063	91,758	92,956	92,465	122,795	123,351	106,660	108,584	104,796	96,725
Average trade value (in thousand yen)	Cash	386	380	374	408	364	374	373	426	440	397	403	440	472
	Margin	683	654	649	814	671	667	624	696	760	718	701	710	710
	Total	501	483	471	555	473	480	463	518	543	502	502	527	555
Monthly trade value (in billion yen)	1,233.3	992.7	1,163.1	1,212.6	955.3	892.8	856.8	1,273.0	1,408.4	1,019.1	1,091.2	1,217.1	1,074.3	
Margin balance (end of month)(in billion yen)	161.1	161.4	144.2	147.3	147.6	142.9	145.6	142.9	150.0	152.9	161.5	166.0	155.5	

### FX Trading (OTC FX)

Number of OTC FX accounts	224,485	225,666	227,043	228,124	228,697	230,118	231,540	232,764	233,779	234,426	234,755	235,137	235,500
Number of active accounts in OTC FX accounts	63,507	63,726	64,300	64,657	64,775	65,058	65,015	66,307	66,234	66,058	65,441	65,175	64,943
Average trade value per business day (in million yen)	169,830	142,755	149,991	135,405	85,374	123,767	95,543	213,618	140,692	198,553	155,848	108,692	95,000

### DARTs

Number of DARTs (per business day)	189,416	168,560	185,166	178,737	140,419	147,917	142,983	203,358	181,477	179,379	163,924	157,294	145,949
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(Notes)

1. The number of total accounts:

- "Number of total accounts" refers to the sum of brokerage accounts and FX-only accounts.

- "Number of active accounts in total accounts" refer to accounts with balances at the end of each month or accounts which have been traded (including withdrawal) in the past 1 year.

2. The number of margin accounts;

- Monex, Inc. replaced its backbone system in January 2017, which enables it to combine margin guarantee deposits with other deposits under a customer's margin account unlike separate management of these deposits in and before December 2016. As we included the number of margin accounts with margin guarantee deposits in the "number of active accounts in margin accounts", this figure is not available anymore.

3. The customers' assets in custody:

- "Customers' assets in custody" include securities that have been lent to Monex, Inc. through the securities lending service program.

4. Stock brokerage:

- "Stock Brokerage" data include stocks, ETFs and REITs traded only in Japanese markets.

5. FX Trading (OTC FX):

- Monex, Inc. provides several services of FX Trading (OTC FX) and “Number of OTC FX accounts” and “Number of active accounts in OTC FX accounts” exclude duplicate active accounts at Monex, Inc.
- “Number of active accounts in OTC FX accounts” refer to accounts with balances of FX guarantee deposits received at the end of each month or accounts which have been traded in FX accounts (including withdrawal from FX guarantee deposits) in the past 1 year.

6. DARTs:

- DARTs of Monex, Inc. comprise trades of stock brokerage, futures and options, OTC FX, mutual funds, TFX-CFD and bullion (gold, silver & platinum).

**TradeStation Group**

	Apr. 2016	May 2016	Jun. 2016	Jul. 2016	Aug. 2016	Sep. 2016	Oct. 2016	Nov. 2016	Dec. 2016	Jan. 2017	Feb. 2017	Mar. 2017	Apr. 2017
Number of business days	21	21	22	20	23	21	21	20.5	20.5	20	19	23	19

Number of active accounts (end of month)	61,819	61,932	62,185	62,018	61,752	61,815	61,732	61,819	61,886	62,045	62,243	63,024	63,819
Total client assets [end of month] (in million dollars)	3,802	3,800	3,852	3,949	3,914	3,918	3,900	4,093	4,102	4,201	4,311	4,340	4,348
Average equities clients credit balance (in million dollars)	1,401	1,373	1,408	1,433	1,444	1,418	1,408	1,433	1,433	1,449	1,446	1,458	1,473
Average equities margin clients debit balance (in million dollars)	69	72	72	66	71	67	67	64	64	73	79	71	72

**DARTs**

Number of DARTs (per business day)	110,929	106,400	109,117	97,313	91,241	103,752	94,307	105,400	89,059	95,505	99,588	101,121	100,543
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(Notes) 1. “Active accounts” mean the number of accounts (equities, futures etc) whose balance is more than 200 dollars or which have been traded in the past 6 months as of the end of each month.

2. DARTs of TradeStation Group are the number of revenue generating trades in a securities company and FX companies under TradeStation Group, Inc. DARTs mainly include the DARTs of stocks, futures, options and OTC FX.

\*The preliminary numbers reported above may be updated in the future.

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