

# MONEX GROUP

## Preliminary figures of consolidated financial results

TOKYO, January 27, 2017 – Monex Group, Inc. (TSE 8698, Oki Matsumoto, Representative Executive Officer and CEO) reported today the following preliminary quarterly consolidated financial results of Monex Group, Inc., for the 3<sup>rd</sup> quarter of the fiscal year ending March 31, 2017 prepared in accordance with IFRSs. The formal announcement of the financial results is scheduled to be made on January 31, 2017.

Preliminary figures of financial results for the 3<sup>rd</sup> quarter of the fiscal year ending March 31, 2017 (From April 1, 2016 to December 31, 2016)

(Unit: million yen)

	Total Operating revenue	Profit before income taxes	Profit	Profit attributable to owners of the Company
For the 3 <sup>rd</sup> quarter ended December 31, 2016 (Preliminary figures)	33,450	750	300	400
For the 3 <sup>rd</sup> quarter ended December 31, 2015 (Actual results)	41,905	4,616	3,182	3,200
Change(%)	-20.2	-83.8	-90.6	-87.5

The above preliminary figures are based on assumptions that Monex Group, Inc. currently considers reasonable and may result in different results with actual performance.

### 【Reference】

For the fiscal year ending March 31, 2017

(Unit: million yen)

	Total Operating revenue	Profit before income taxes	Profit	Profit attributable to owners of the Company
For the 3 <sup>rd</sup> quarter ended December 31, 2016(Preliminary figures)	11,400	641	470	503
For the 2 <sup>nd</sup> quarter ended September 30, 2016(Actual results)	10,426	-447	-403	-364
For the 1 <sup>st</sup> quarter ended June 30, 2016 (Actual results)	11,624	556	233	261

The above preliminary figures for the 3<sup>rd</sup> quarter (from October 2016 to December 2016) equal the difference between the preliminary figures for the 3<sup>rd</sup> quarter of the fiscal year ending March 2017 (from April 2016 to December 2016) and the actual figures for the sum of 1<sup>st</sup> and 2<sup>nd</sup> quarter of the fiscal year ending March 2017 (from April 2016 to September 2016)

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