

## **Monex Sustainability Statement**

Our company name “MONEX,” one step ahead of the “Y” in “MONEY,” expresses our desire to embrace all people who are engaged at the forefront of our future. With state-of-the-art IT technology, globally universal values and a sense of professionalism, Monex Group designs innovative ways of managing money and realizing individual self-fulfillment for an ever-changing future. Our ultimate goal is to optimize each person’s lifetime balance sheet.

In addition, Monex Group enables people around the world to achieve self-fulfillment and strives to contribute to environmental and societal sustainability. In the present and in the ever-changing future, Monex Group is committed to respecting the diversity of its customers and employees and working with its various stakeholders to resolve societal issues, to create new value and to contribute to the realization of a sustainable society.

Ever since its establishment, Monex Group has incorporated ESG and sustainability perspectives in its operations. Monex Group operates environmentally friendly online financial businesses and further seeks to reduce environmental burden in each subsidiary. In addition, it continuously works to improve corporate governance as a corporation with committees with outside directors commanding a majority on the board. And finally, it strives to enhance employee diversity and creativity with policies that value diversity, equity and inclusion (DEI). Through its activist fund, Monex Group engages with the listed companies it invests in to improve their corporate governance and contribute to their greater sustainability. With promoting sustainable finance businesses, Monex Group aims to reduce the negative impact on the environment and society while creating positive impact.

Through these and other initiatives, Monex Group is committed to fulfilling its corporate philosophy.

Effective June 26, 2021